

J1059 U.S. PTO  
12/06/01

12-10-01 A

Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

|  |  |  |
|--|--|--|
| Attorney Docket No.                      | M10-2354 US  |  |
| First Inventor or Application Identifier | Harold Y. Walker, Jr., et al.                      |  |
| Title                                    | METHOD AND SYSTEM FOR RELEASING A PLUGGABLE MODULE |  |
| Express Mail Label No.                   | EL597435721US                                      |  |

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 17]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
4. Oath or Declaration [Total Pages 4]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of (when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \* Small Entity Statement(s)  Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: Certificate of Mailing

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: /

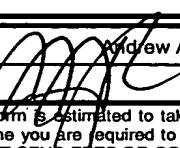
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 000128 or  Correspondence address below  
(Insert Customer No. or Bar code label here)

|          |  |           |              |
|----------|--|-----------|--------------|
| Name     | Andrew A. Abeyta                                     |           |              |
|          | Honeywell International Inc. PATENT TRADEMARK OFFICE |           |              |
| Address  | 101 Columbia Road                                    |           |              |
|          | POB 2245   |           |              |
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| Country  | U.S.A.   | Telephone | 602-313-3345 |
| Zip Code | 07962  |           |              |
| Fax      | 602-313-4559   |           |              |

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Andrew A. Abeyta  | Registration No. (Attorney/Agent) | 39,582 |
| Signature         |  |                                   |        |
|                   | Date  | 12/6/01                           |        |

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL For FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,032.00

## COMPLETE IF KNOWN

|                      |                               |
|----------------------|-------------------------------|
| Application No.      | T.B.D.                        |
| Filing Date          | 12-06-2001                    |
| First Named Inventor | Harold Y. Walker, Jr., et al. |
| Group Art Unit       | T.B.D.                        |
| Examiner Name        | T.B.D.                        |
| Attorney Docket No.  | M10-02354 US                  |

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

 Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

Payment Enclosed:  
 Check     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity        | Small Entity | Fee Description | Fee Paid   |
|---------------------|--------------|-----------------|------------|
| Fee Code: 103       | Fee \$ 740   | Fee Code: 201   | Fee \$ 370 |
| Fee Code: 106       | Fee \$ 330   | Fee Code: 206   | Fee \$ 165 |
| Fee Code: 107       | Fee \$ 510   | Fee Code: 207   | Fee \$ 255 |
| Fee Code: 108       | Fee \$ 740   | Fee Code: 208   | Fee \$ 370 |
| Fee Code: 114       | Fee \$ 160   | Fee Code: 214   | Fee \$ 80  |
| <b>SUBTOTAL (1)</b> |              |                 | \$740.00   |

## 2. EXTRA CLAIM FEES

| Total Claims              | -20 =     | Extra Claims | Fee from below | Fee Paid |
|---------------------------|-----------|--------------|----------------|----------|
| Independent Claims        | 20 - 20 = | -0-          | x 18.00        | = -0-    |
| Multiple Dependent Claims | 6 - 3 =   | 3            | x 84.00        | = 252    |
|                           |           | -0-          | x 280.00       | = -0-    |

| Large Entity        | Small Entity | Fee Description |            |
|---------------------|--------------|-----------------|------------|
| Fee Code: 103       | Fee \$ 18    | Fee Code: 203   | Fee \$ 9   |
| Fee Code: 102       | Fee \$ 84    | Fee Code: 202   | Fee \$ 42  |
| Fee Code: 104       | Fee \$ 280   | Fee Code: 204   | Fee \$ 140 |
| Fee Code: 109       | Fee \$ 84    | Fee Code: 209   | Fee \$ 42  |
| Fee Code: 110       | Fee \$ 18    | Fee Code: 210   | Fee \$ 9   |
| <b>SUBTOTAL (2)</b> |              | \$252.00        |            |

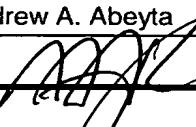
## 3. ADDITIONAL FEES

| Large Entity        | Small Entity  | Fee Description | Fee Paid      |
|---------------------|---------------|-----------------|---------------|
| Fee Code: 105       | Fee \$ 130    | Fee Code: 205   | Fee \$ 65     |
| Fee Code: 127       | Fee \$ 50     | Fee Code: 227   | Fee \$ 25     |
| Fee Code: 139       | Fee \$ 130    | Fee Code: 139   | Fee \$ 130    |
| Fee Code: 147       | Fee \$ 2,520  | Fee Code: 147   | Fee \$ 2,250  |
| Fee Code: 112       | Fee \$ 920*   | Fee Code: 112   | Fee \$ 920*   |
| Fee Code: 113       | Fee \$ 1,840* | Fee Code: 113   | Fee \$ 1,840* |
| Fee Code: 115       | Fee \$ 110    | Fee Code: 215   | Fee \$ 55     |
| Fee Code: 116       | Fee \$ 400    | Fee Code: 216   | Fee \$ 200    |
| Fee Code: 117       | Fee \$ 920    | Fee Code: 217   | Fee \$ 460    |
| Fee Code: 118       | Fee \$ 1,440  | Fee Code: 218   | Fee \$ 720    |
| Fee Code: 128       | Fee \$ 1,960  | Fee Code: 228   | Fee \$ 980    |
| Fee Code: 119       | Fee \$ 320    | Fee Code: 219   | Fee \$ 160    |
| Fee Code: 120       | Fee \$ 320    | Fee Code: 220   | Fee \$ 160    |
| Fee Code: 121       | Fee \$ 280    | Fee Code: 221   | Fee \$ 140    |
| Fee Code: 138       | Fee \$ 1,510  | Fee Code: 138   | Fee \$ 1,150  |
| Fee Code: 140       | Fee \$ 110    | Fee Code: 240   | Fee \$ 55     |
| Fee Code: 141       | Fee \$ 1,280  | Fee Code: 241   | Fee \$ 640    |
| Fee Code: 142       | Fee \$ 1,280  | Fee Code: 242   | Fee \$ 640    |
| Fee Code: 143       | Fee \$ 460    | Fee Code: 243   | Fee \$ 230    |
| Fee Code: 144       | Fee \$ 620    | Fee Code: 244   | Fee \$ 310    |
| Fee Code: 122       | Fee \$ 130    | Fee Code: 122   | Fee \$ 130    |
| Fee Code: 123       | Fee \$ 50     | Fee Code: 123   | Fee \$ 50     |
| Fee Code: 126       | Fee \$ 180    | Fee Code: 126   | Fee \$ 180    |
| Fee Code: 581       | Fee \$ 40     | Fee Code: 581   | Fee \$ 40     |
| Fee Code: 146       | Fee \$ 740    | Fee Code: 246   | Fee \$ 370    |
| Fee Code: 149       | Fee \$ 740    | Fee Code: 249   | Fee \$ 370    |
| Fee Code: 179       | Fee \$ 740    | Fee Code: 279   | Fee \$ 3370   |
| Fee Code: 169       | Fee \$ 900    | Fee Code: 169   | Fee \$ 900    |
| Fee Code: 195       | Fee \$ 300    | Fee Code: 195   | Fee \$ 300    |
| Other fee (specify) |               |                 |               |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

## SUBMITTED BY

|                     |   |                                   |        |           |                  |
|---------------------|---|-----------------------------------|--------|-----------|------------------|
| Name (Print / Type) | Andrew A. Abeyta  | Registration No. (Attorney/Agent) | 39,582 | Telephone | 602-313-3345     |
| Signature           |  |                                   |        | Date      | December 6, 2001 |

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Docket No. M10-02354 US

Serial No. t. b. d.

Filed 12-06-2001

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1. Utility Patent Application Transmittal;
2. Fee Transmittal for FY 2001, two copies;
3. Patent Application, seventeen pages;
4. Formal drawings, five pages;
5. Declaration for Utility or Design Patent Application, four pages;
6. Recordation Form Cover Sheet, two pages, two copies;
7. Assignment, two pages;
8. Information Disclosure Statement, two pages;
9. Form 1449, one page
10. Cited references, six U.S. patents;
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